



JC20 Rec'd PCT/PTO 19 FEB 2002

I hereby certify that this RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR 1.63 in connection with the above identified U.S. Patent Application is being deposited with the United States Postal Service and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, BOX MISSING PARTS on November 15, 2001.

Laurie A. Boylan
Laurie A. Boylan

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Shodai et al.

For : **CHEWABLE SOFT CAPSULE HAVING
IMPROVED INGESTION PROPERTY AND
METHOD FOR PRODUCING SAME**

Serial No. : 09/914,340

Filing Date : August 23, 2001

International Application No. : PCT/JP00/01049

International Filing Date : 23 February 2000

Attorney Docket No. : YAM 2 0009

Cleveland, Ohio 44114-2518
November 15, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION UNDER 37 CFR 1.63**

Assistant Commissioner for Patents
Washington, D. C. 20231
BOX MISSING PARTS

Dear Sir:

Responsive to the Notice to File Missing Parts issued November 1, 2001 on the above-captioned patent application, a "Declaration For Patent Application" is enclosed herewith.

The enclosed Declaration has been duly executed by the inventor(s).

A check in the amount of one-hundred, thirty dollars (\$130.00) to cover the surcharge required under 37 CFR 1.16(e) for filing the Declaration later than the filing date of the application itself is attached hereto. Please charge any additional

02/27/2002 SNAJARRD 00000024 09914340

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fees which may be necessary to Deposit Account No. 06-0308.

The foregoing submissions are believed to meet the requirements of the Notice to File Missing Parts, and the applicant(s) await(s) further action on the application from the Patent and Trademark Office.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,
FAY, SHARPE, FAGAN,
MINNICH & McKEE, LLP

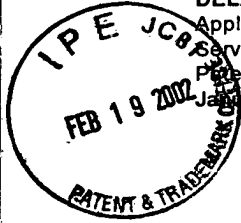
Date: 11/15/2001


Richard M. Klein
Reg. No. 33,000
1100 Superior Avenue, Seventh Floor
Cleveland, Ohio 4411-2518
(216) 861-5582

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#5

CERTIFICATE OF MAILING

I hereby certify that this **STATEMENT REGARDING USPS MAIL DELAYS** in connection with the below identified U.S. Patent Application is being deposited with the United States Postal Service and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, BOX MISSING PARTS on January 3, 2002.



Laurie A. Boylan
Laurie A. Boylan

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Shodai et al.
For : **CHEWABLE SOFT CAPSULE HAVING
IMPROVED INGESTION PROPERTY AND
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Serial No. : 09/914,340
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Attorney Docket No. : YAM 2 0009


Cleveland, Ohio 44114-2518
January 3, 2002

STATEMENT REGARDING USPS MAIL DELAYS

Assistant Commissioner for Patents
Washington, D. C. 20231
BOX MISSING PARTS

Dear Sir:

The enclosed documents, "RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR 1.63" and "RECORDATION FORM COVER SHEET", were mailed to the USPTO on the date of the certificate of mailing, i.e. November 15, 2001. For reasons unknown to us, this information was returned to our offices on December 31, 2001. Pursuant to the OG Notice entitled "Processing of, and Requirements for, the Filing of Duplicate Applications and Papers in Patent

<div style="text-align: center;">  RECEIVED NOV 06 2001 </div>		
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/914,340	Hidekazu Shodai	YAM 2 0009

INTERNATIONAL APPLICATION NO.	
PCT/JP00/01049	
I.A. FILING DATE	PRIORITY DATE
02/23/2000	02/26/1999

Richard M. Klein

Fay, Sharpe, Fagan, Minnich & McKee
 1100 Superior Avenue, Seventh Floor
 Cleveland, OH 44114

CONFIRMATION NO. 3665

371 FORMALITIES LETTER



Date Mailed: 11/01/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY

"DOCKETED"

DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/914,340	PCT/JP00/01049	YAM 2 0009



Creation date: 11-06-2003
Indexing Officer: ATRAN2 - AI-FUONG TRAN
Team: OIPEBackFileIndexing
Dossier: 09914340

Legal Date: 03-15-2002

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1	M903	2

Total number of pages: 2

Remarks:

Order of re-scan issued on